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# Behavior Prediction based on Vision Judgment of Ball Carrier in Basketball Matches

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**Abstract:** The introduction of digital video technology into basketball trainings can greatly increase the efficiency of training; this thesis proposes a behavior prediction method based on the vision judgment of ball carrier to the computer technology used for the behavior prediction of ball carrier. The method analyzes the characteristics in basketball matches like complexity background, fast speed, and low resolution and so on, which integrate the multiple effective head vision characteristics, form the Riemannian manifold, and then map them into tangent space. Well-trained classifier is used to recognize the head pose of the ball carrier to ensure the visual range. This thesis makes prediction experiments for shooting, passing and dribbling of the ball carrier, and the simulation experiments shows that the proposed method is correct and efficient.

Keywords: Vision judgment; Riemannian manifold; Basketball match videos; Particle filter

### 1. Introduction

The sports professionals abroad and at home made long terms of practices and researches, the results showed that bring in digital video technology into exercise training can greatly increase the training efficiency. Using computer picture processing, video analyzing and so on to analyze the video picture of training and matches, then getting useful information for the athletes and coaches to help the training, this has already became a hot-spot [1-2]. Now the application of computer technology for sports videos mainly reflected in the recognizing and analyzing of the athlete's behavior. Ramasso E and his colleagues used TBM(transferable belief model) to recognize flop, pole vault, triple jump and so on [3]; Roh M C and his colleagues tracked the key points of ballet dancers' body and got its dance course and realized auto analysis of the dance movements [4-7]; Tong X F and his colleagues realized the recognition of four swimming strokes of swimming match video [8-10]; Zhu G Y and his colleagues integrated considered the multiple information of the court in videos and realized auto analysis match tactics [11-14].

Basketball match is a wildly receipted and representative team sports, the win or lose relying on the close coordination among partners, and the good or bad of the coordination relying on the decision of the ball carrier. Therefore, the analyzing and predicting of the ball carrier's shooting, passing and dribbling has important guiding significance [15]. The behavior of ball carrier in a match belongs to complexity behavior analysis, located at higher level in movement analysis. It needs not only recognizes the behavior of ball carrier, but also the relationship with other partners and opposite basket, etc. present researches in our country mainly focusing on the behavior recognition of human body, there're few prediction researches. Duque D and his colleagues proposed an abnormal behavior prediction method based on N-ARY TREES classifier, later proposed a dynamic oriented graph (DOG) which is more real-time and self-adapt, and used it to predict abnormal behavior. But in real life, the prediction of human body is more efficient than the recognition, as in bank, airport, government building and those places which is sensitive in security, if the intelligent monitoring system can predict the criminal behavior of suspicious individuals in advance and alarm the security guard, the criminal can be prevented efficiently, and can reduce the costs in personnel and finance; in sports matches, if the ball carrier's behavior is recognized ahead, the match's situation can be predicted.

This text proposed a new prediction technology used for the ball carrier's behavior in basketball match videos. Analyzed the characteristics in basketball matches like complexity background, fast speed, low resolution of head and so on, integrated multiple effective head vision characteristics used covariance and formed Riemannian manifold, then mapped them into tangent space, used well-trained classifier *LogitBoost* to recognize the head pose of the ball carrier and ensured the visual range. According to the players' distribution in the visual range, used player's information based on artificial potential field, predicted the shooting, passing and dribbling of the ball carrier.

This text mainly made explosive and innovative works in following aspects:

(a) As bring in digital video technology in basketball training can greatly increase the training efficiency, this text proposed a behavior prediction method based on the vision judgment of ball carrier. This method analyzed the characteristics in basketball matches like complexity background, fast speed, low resolution of head and so on, integrated multiple effective head vision characteristics and formed Riemannian manifold, then mapped them into tangent space, used well-trained classifier to recognize the head pose of the ball carrier and ensured the visual range. According to the detection classify and the head recognition of the partners, got the players' distribution both two sides and their visual information. Then proposed the behavior prediction algorithm based on artificial potential field, and made prediction for shooting, passing and dribbling of the ball carrier.

(b) in order to further verify the validity and efficiency of the behavior prediction method based on the ball carrier's vision judgment, made head pose's recognition experiment and the ball carrier's behavior prediction experiment, used C + + and MATLAB to hybrid programming. In the behavior prediction experiment, when valued bigger of shooting information amount $\tau 0$ , it might be mistaken predict shooting as passing, when valued smaller, it might be mistaken predict passing as shooting and made the shooting prediction accuracy reduced. The same as for dribbling information amount p0, when valued bigger, it might be mistaken predict passing as dribbling, when valued smaller, it might be mistaken predict dribbling as passing and made the prediction accuracy reduced. According to big amount of experiments, respectively took the mean value of shooting player APF's information amount and the dribbling player APF's information amount in training video as the value ofto and p0, the prediction accuracy is the highest. The experiment showed: the method proposed by this text is validity and efficiency.

## 2. Vision judgment of ball carrier

Before recognizing the head pose of the ball carrier, uses the method which combines manually labeling and particle filter tracking, ensures the positions of each players in the graph. In order to judge all the player's visual range, it needs to further ensure the head pose of the ball carrier, that is, judges the angle which the head is about to turn as one of the eight angles in graph 1. Nowadays head pose recognition algorithm mainly aims at the situation which the human-computer interaction has good graph, higher resolution, the head characteristics can be efficiently extracted, while basketball matches exists many noise, motion blur, small proportion of head in the graph, low resolution, the characteristics which can be extracted are limited. Tuzel O and his colleagues proposed a human detection algorism with strong robustness to the changing external environment, he regarded inputted graph which making human detection as a dichotomy problem with foreground (human body) and background, and made experiments on datasets INRIA and Daimler Chrytel, which got pretty detection effect. On this basis, this text explored human detection dichotomy problem as multiclassification problem, finished head detection and head poses recognition at the same time, as the same application condition and they are both detection classify problems.



Figure1. Eight head poses

## 2.1. Head features extraction based on riemannian manifold

For each training sample, firstly extracts N equal-area squares in this graph, this N small areas allows partial overlapping. For each pixel in each extracted area, calculates a 12 dimension feature vector

$$\lambda = \left[ y xhg r_x p_x oGxy \{0, \pi / 2, \pi / 4, \pi / 6\} \right]$$

In this formula, x and y is the coordinate of pixel, R, G,

and B is three color channel value,  $r_x$  and  $p_x$  is the first derivative, O is gradient direction,  $Gxy \{0,\pi/2,\pi/4,\pi/6\}$ respectively uses the response value of Gabor filter with 2×4, 16 as sinusoidal frequency, 0 as direction,  $\pi/3$ ,  $\pi/6$ ,  $4\pi/3$ . The reason for extracts color features is that the head skin color and other not skin color counts different proportion in different angles (like front and back), while Gabor filter is for extracting textural features of the head. Then calculates the each area's corresponding covariance descriptor

$$p_{ri}\left[x_k^i\right] = 1/9 \tag{1}$$

In this formula,  $\lambda_x^p$  refers to the mean vector of all the pixel's feature vector in k sample's r area,  $y_x^p$  refers to the number of pixel in k sample's r area.  $t_i$  Refers to the feature vector in this area's I pixel.  $\lambda_x^p$  is a symmetric matrix, the components in leading diagonal are the variance of each feature, other non-diagonal components are the relevant coefficient in each feature. Tuzel O also

proposed a method using integral image to calculate covariance descriptor in a fast speed, each rectangle's corresponding covariance descriptor can be calculated in the time complexity as O (d2), d is the dimension of each pixel's feature vector.

As covariance descriptor cannot form vector space so as cannot to use classical method used machine learning to form the classifier. But in mathematics, is has the structure attributes of symmetric and positive semi-definite. Fletcher P T and some others pointed that,  $n \times n$  symmetric positive semi-definite matrix set formed a convex cone of n (n+1)/2 dimension space. As their variance is impossible to be 0 when calculating each graph's feature, it just needs to consider the condition then covariance matrix is nonsingular (symmetric and positive definite). While symmetric positive definite matrix's set is corresponding to the inner part of convex cone of the symmetric positive semi-definite matrix, this inner part is a differential manifold, so the differential geometry method can be used to make subsequent processing. Pennec X and his colleagues proposed the affine Invariant measurement in differential manifold. It's a continuous Riemannian metric with main thought: arbitrarily point gets though Riemannian manifold M, all can be as a tangent space SX, and forms diffeomorphism for tangent space SX and Riemannian manifold M. Tangent space is a linear space formed by all the tangent vector of some point. For the vector V in tangent space SX, can map V into the isometric and synclastic geodesic started from point X in Riemannian manifold M by exponential map  $\exp_x(x)$ .

The definition of exponential map  $\exp_x(x)$  is:

$$p = \exp_{x}(x) = y \frac{1}{2} \exp\left(p^{\frac{1}{2}} \exp\left(y^{-\frac{1}{2}} x p^{-\frac{1}{2}}\right)\right) y^{\frac{1}{2}}$$
(2)

And the inverse mapping of exponential map, that is, log mapping  $\log_y(x)$ , mapping the geodesic from point X to Y in Riemannian manifold M as the isometric and synclastic vector in tangent space  $s_x$ .

$$p = \log_{y} \left( x \right) = y^{\frac{1}{2}} \log \left( y^{-\frac{1}{2}} x y^{-\frac{1}{2}} \right) x^{\frac{1}{2}}$$
(3)

This exponential map and log mapping forms the double continuous mapping between tangent space SX with Riemannian manifold M. Through this double continuous mapping, the points in Riemannian manifold M can be transferred into tangent space SX and makes researches. So this affine invariant measurement method can be used into the differential manifold formed by covariance descriptor of graph feature and makes it Riemannian manifold, then turns to tangent space, uses machine learning method in linear space to detect and recognize the player's head poses. But when goes through arbitrary point X in Riemannian manifold M, it can be a tangent space SX, so there are countless tangent spaces, it must be a unified tangent space as the mapping space of arbitrary point X. For a bunch of points  $(y, y_1, y_2..., y_n - 1, y_n)$  in Euclidean space, usually takes the mean value as it optimum value to operate. For a bunch of points  $(x_1, x_2, ..., x_n - 1, x_n)$  in Riemannian manifold M, as the same rules, the mean value of these points forms a tangent space and gets best approximation of the manifold. Document [16] proposed a Karcher mean value of differential manifold

$$\lambda = \arg\min_{\eta \in N} \sum_{k=1}^{M} r^2 \left( x_i, y \right)$$
(4)

The formula requires the smallest mean value and smallest sum of the squared distance among each point Xi in Riemannian manifold M. There the squared distance is

$$r^{2}(x, y) = tr\left(\log^{2}\left(x^{-\frac{1}{2}}xy^{-\frac{1}{2}}\right)\right)$$
(5)

As for the convex cone manifold formed by covariance descriptor, the mean value of Karcher is unique, can takes gradient descent

$$\gamma^{t+1} = \exp_{\gamma^{t}} \left[ \frac{1}{M} \sum_{i=k}^{m} \log_{i} \left( y_{i} \right) \right]$$
(6)

Values mean value  $\gamma$ , when

$$t\left(\gamma^{r},\gamma^{r+1}\right)\prec\varepsilon\tag{7}$$

Circulation stops. Makes tangent space  $s_{\gamma at}$  point  $\gamma$ , then gets the most reasonable approximation. Finally orthogonalized the mapped vector as formula (8)

$$\gamma ec_{\gamma}(x) = \gamma ec_{i}\left(\gamma^{-\frac{1}{2}}x\gamma^{-\frac{1}{2}}\right)$$
(8)

In this formula, makes  $\left(\gamma^{-\frac{1}{2}}x\gamma^{-\frac{1}{2}}\right) = p$ , and gets

$$\gamma ec_i(p) = \left[ p_1, 1, \sqrt{2p_{1,2}}, \dots, p_{2,2}, \sqrt{2}p_{2,3}, \dots, p_{n,n} \right]$$
(9)

### 2.2. Classification and detection

As it supposes that the ball carrier's detection is finished and labeled by rectangular box, thus makes detection and recognition of its head, it only needs to sweep 1/4 of the body's rectangular box by detection window, the steps are as following.



Figure 2. Flow chart of head poses recognition

When detection window moves, respectively calculates each area's covariance descriptor at this window, then takes Karcher mean value point  $\mu_k$  maps into tangent space by log mapping and orthogonal it. Makes the gotten feature vector as the trained multiclass *LogitBoost's* input, finally takes all the small areas recognition result, counts and judges the head poses by formula (14).

$$Label = \arg \max \{t_c\}, k = 1, ..., h$$
 (14)

In this formula,

$$t_k = \sum_{k=1}^m \left( LB_i = i \right) \tag{15}$$

Refers to the judgment result is the sum of k class of small area,  $LB_i$  is the judgment class given by I area's *LogitBoost* classifier.

The complexity of head recognition algorithm mainly focus on the calculation of head features, while the complexity of calculating covariance descriptor is O (d2), maps covariance descriptor into tangent space and makes logarithm transformation and the time complexity is O (d3), d is the extracted feature's dimension.

### **3. Behavior prediction**

Through the player detection classification and the head poses recognition of ball carrier, the both side's distribution and the ball carrier's visual information can be learned. Proposes the ball carrier's behavior prediction algorithm based on artificial potential field.

The ball carrier can choose different behavior according to himself of the partner's information and distance in their behavior choosing process. As a ball carrier, we can chooses shooting, passing or dribbling. In this three behavior, shooting ranks the first place, then passing and dribbling, that is, the biggest success possibility for ball carrier is shooting, then chooses shooting; or to find the partners whose position are better, if found, chooses passing, or chooses dribbling.

## **3.1.** Player's information amount based on artificial potential field (APF)

In a match, the offensive side's player can be divided into two parts, the ball carrier and the member without the ball. The offensive player's information amount can be referred and calculated by artificial potential field (APF). The artificial potential field of player x can be expressed by

$$U_{apx}(y) = U_{goal}(y) + U_{obs}(y)$$
(16)

In this formula,  $U_{apx}(y)$ ,  $U_{goal}(y)$  and  $U_{obs}(y)$  respectively refers to artificial potential field, attractive potential by goal and rejection potential by barrier. The attractive potential can be expressed by

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$$U_{goal}\left(y\right) = \frac{1}{2} k p \left(\frac{1}{y - y_{goal}}\right)^2 \tag{17}$$

In this formula,  $y - y_{goal}$  refers to the distance between the offensive player and opposite basket, the smaller it is, the attractive potential is bigger; the bigger it is, the more far distance is, the attractive potential is smaller. The rejection potential can be expressed by

$$U_{obs}\left(y\right) = \begin{cases} \frac{1}{2}kh\left(\frac{1}{r} - \frac{1}{r_0}\right)^2 r \le r_0\\ 0 \quad r \succ r_0 \end{cases}$$
(18)

Barrier refers to opposite players,  $\rho$  in this formula is the distance between the offensive player and the surrounding opposite player.  $r_0$  Is a distance threshold, once exceeds  $r_0$ , the player will not be rejected by the opposite players, that is, opposite players will not threaten this player. If there are multi opposite players in the offensive player's efficient range (r  $\leq r_0$ ), it needs to consider multi

players' rejection potential  $U_{obs}(y)$  when calculating this offensive player's artificial potential field.

As the player's character(forward, guard and so on) cannot be directly judged in the match video's graph feature, the algorithm of auto updating parameter  $k_p$  (shooting ability) and  $k_d$  (tackling ability) by the number of player tackling and shooting is proposed. Firstly establishes a shooting and tackling ability chart of the 10 players in the court, as Table 1.

 Table 1. Shooting and tackling ability chart (initial state)

	Player number									
	$X_1$	$X_2$	$X_3$	$X_4$	$X_5$	$y_1$	$y_2$	<i>Y</i> <sub>3</sub>	$y_4$	$y_5$
$k_p$	α	α	α	α	α	α	α	α	α	α
k <sub>d</sub>	β	β	β	β	β	β	β	β	β	β

#### 3.2. Ball carrier's behavior prediction

Each time, calculates the information amount  $\gamma x = UAPF(x)$  for each player x, the ball carrier chooses different behavior according to the information of himself and his partners. The ball carrier's behavior prediction can be abstracted as path choice problem as figure 3(blue and white rectangular boxes refers to both side's distribution, five-pointed star refers to the opposite basket).

When the ball carrier's information amount  $\gamma_c \succ \gamma_0$  ( $\gamma_0$  is the shooting information amount threshold), refers to it's close to the opposite's basket and the threaten made by opposite player is small, the successful shooting pos-

sibility is bigger, then chooses shooting; when the ball carrier's information amount  $\gamma_c \succ \gamma_0$ , the successful shooting possibility is smaller, then chooses passing or dribbling.



Figure 3. Example for ball carrier's behavior choosing

Whentc $\leq \tau 0$ , the choice of passing or dribbling must be decided by the proper partners. Then finding partners, the better shooting condition (attractive potential  $U_{goal}(y)$  is bigger, rejection potential  $U_{obs}(y)$  is smaller) and the distance between ball carrier and the partners should be both considered. The far distance is, the passing is more likely to miss or be broken; the closer, the successful rate is bigger. Therefore, the k partners surrounding ball carrier's visual range, calculates the successful passing proportion from player c to his partner m by this following formula

$$y_{m}^{x} = \frac{\left[r_{m}\right]^{\varphi} \left[\varphi_{m}\right]^{\varphi}}{\sum_{m \in parter} \left[\gamma_{m}\right]^{\varphi} \left[\varphi_{m}\right]^{\varphi}}, m \in partner$$
(19)

In this formula,  $partner_c$  refers to the set of visual range of ball carrier c and links to k partners with no defensive players.  $y_m$  calculates as following

$$y_m = \frac{1}{t_{xm}} \tag{20}$$

### 4. Experiments and analyses

In order to prove the efficiency of ball carrier's behavior prediction algorithm, makes hybrid programming in the computer with 2.8 GHz of CPU dominant frequency, 3 GB RAM, uses C + + and MATLAB. Firstly tests the recognition algorithm for the ball carrier's head poses, on this basis, further proves the efficiency of ball carrier's behavior prediction algorithm.

### 4.1. Head poses' recognition experiment

In order to train the multiclass *LogitBoost* classifier introduced in chapter 2.2, manually intercepts 800 head graphs from 100 basketball match videos, each class 100 graphs. The size is between  $10 \times 10$  and  $30 \times 30$ , including the outlook of different people, like bald, beard, complexion and the change of light. After intercepting, unifies into the size of  $20 \times 20$  (as the covariance descriptor has "scale invariance", it's unnecessary to unify the testing graphs, unifies the graphs is only for the same size of training set graphs), as the sample of training multiclass LogitBoost classifier, the classical training sample is as figure 4. Besides, intercepts 100 non-head graphs as counter-example training set, mainly including part of the court background graphs and other parts of the player's body. Figure 5 shows the relationship between the square area's relative size (the ratio to sample length) extracted from training samples and the recognize accuracy. It shows the highest accuracy when it length to sample length ratio is 0.28. When training processes, sets  $\tau$  accuracy of head graph detection classifier as 0.99, learning rate as 0.1,  $\tau$  accuracy of non-head graph detection classifier as 0.4. Extracts other 400 head graphs as training set, each class 50 graphs, the experiment result is as table 2.

From chart 2, the error judgment mainly appears in the judgment of the neighbored two head poses. It's mainly because the low resolution of head graphs in basketball match videos, the noise disturbing and the similarity of the head poses. The experiment result shows, the covariance descriptor integrated multiple bottom-layer can better adapt those situations, the method in this text got a desirable experiment result, the average recognition rate of eight head poses reaches 97.4%.



Figure 4. Classical training samples of classed head poses



Figure 5. The relation curve between head poses recognition rate's accuracy and small area's relative size

Table 2. The experiment result of head poses' recognition

_			1					0	
	readings	0	46	91	137	190	229	278	321
	0	0.9	0.01	0	0	0	0	0	0.02
	46	0.02	0.97	0	0	0	0	0	0.02
	91	0	0.02	0.96	0	0	0	0	0.02
	137	0	0.01	0	0.9	0.01	0	0	0.02

190	0	0	0	0	0.99	0	0	0.02
229	0	0	0	0	0.01	0.97	0	0
278	0	0	0.02	0	0.01	0	0.9	0
321	0.03	0.01	0	0	0	0.01	0	0.96

#### 4.2. Behavior prediction of ball carrier

Extracts 10 basketball match videos whose frame rate is 25 frame/s, the integral levels of two sides are closed. The shot cut in basketball videos are manually divided into individual shots, respectively analyzes each shot. Each shot corresponding to a kind of movement (shooting, passing, dribbling), the experiment data shows as table 3. In the experiment, takes 2/3 of each kind of sum shot as training video, uses to estimate each parameter in behavior prediction algorithm, 1/3 of the sum as testing videos.

Table 3. Data description of behavior prediction experiment

-1		1	D.:1.1.1.
snowings	shooting lens	ball lens	Dribble
number	number	number	shot number
1	42	48	29
2	43	53	32
3	46	47	34
4	37	49	37
5	38	50	32
6	39	44	40
7	42	59	42
8	55	63	36
9	51	64	30
10	48	59	38

When valued bigger of shooting information amount  $\tau 0$ , it might be mistaken predict shooting as passing, when valued smaller, it might be mistaken predict passing as shooting and made the shooting prediction accuracy reduced. The same as for dribbling information amount  $r_0$ , when valued bigger, it might be mistaken predict passing as dribbling, when valued smaller, it might be mistaken predict dribbling as passing and made the prediction accuracy reduced. According to big amount of experiments, respectively took the mean value of shooting player APF's information amount and the dribbling player APF's information amount in training video as the value of  $\tau 0$  and  $r_0$ , the prediction accuracy is the highest. For distance threshold  $r_0$ , counts each time the distance from the success tackling player to the ball carrier, extracts the highest value as distance threshold  $r_0$ . The sets initial value of shooting ability  $k_p$  and tackling  $k_d$  as 1. In order to ensure the parameter and  $\varphi$  in formula (19), sets ratio =  $0/\phi$ , according to the comparison of ratio with prediction accuracy rate under different ratio to ensure parameter and  $\varphi$ . The experiment result is as figure 6, the prediction accuracy rate is

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$$R_{p} = \frac{\sum_{i=1}^{13} \left( \gamma_{shooting}^{i} + \gamma_{pas\sin g}^{i} + \gamma_{dribbling}^{i} \right) / 2}{13}$$
(22)

In this formula,  $\gamma_{shooting}^{i}$ ,  $\gamma_{passing}^{i}$  and  $\gamma_{dribbling}^{i}$  respectively refers to the accuracy rate of predicting shooting, passing and dribbling in *i* match. The experiment, individually sets  $\theta$  and  $\vartheta$  as 2 and 1. The upper limit value  $\theta_{d}$  and shooting ability  $\theta a$  of tackling ability are set as 5 and 25. The statistics is as chart 4 of 10 experiment.



Figure 6. The relation curve between prediction accuracy and ratio

From Table 4, the average prediction accuracy rate of shooting and dribbling is high as above 80%, while the accuracy of passing is low. It's mainly because when calculating passing accuracy, not only needs to consider the algorithm can predict as passing but also values the catching partner .the analyzing result shows, the reason for error judgment is: 1) In basketball matches, the behaviors of ball carrier are affected by some specific non-accidental factors and some accidental factors (as physical strength, injury), and with randomness; 2) Being restricted by some tactics and the cooperation of partners. These all causes the error judgments.

showings number	The prediction of movement					
showings number	shoot	Pass ball	dribble ball			
1	89	75	84			
2	83	74	78			
3	86	72	84			
4	82	83	76			
5	92	80	73			
6	83	73	85			
7	86	73	80			
8	84	74	83			
9	85	74	82			
10	91	82	85			
average correct rate	85.9	76.1	81.3			

Table 4. Experiment result of behavior prediction

### 5. Conclusion

Sports video has becoming a highlight of present computer vision field, while present researches are mainly focusing on the detection and tacking, analyzing the extracted movements, which belongs to bottom-layered video analysis technologies. This text proposed a new

technology which predicting the ball carrier's behavior in basketball matches. Aiming at the complex background, fast speed of players, low resolution and so on, integrated multiple effective head vision characteristics and formed Riemannian manifold, then mapped them into tangent

space, used well-trained multiclass *LogitBoost* to recognize the head pose of the ball carrier and ensured the visual range. According to the players' distribution in the visual range, used player's information based on artificial potential field, predicted the shooting, passing and dribbling of the ball carrier. This two experiments showed the method is validity and efficient. The behavior choice in basketball matched is a pretty complexity decision process under the effect of many other elements, to find out more efficiently information and increase the prediction accuracy are worthy to be further researched.

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